



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: Shek Fai Lau et al.

Appl. No.: 10/658,721

Confirm. No.: 2770

Filed: September 9, 2003

Title: Personal Electro-Kinetic Air Transporter-Conditioner

PATENT APPLICATION

Art Unit: 1753

Examiner: Rodney G. McDonald

Docket No. SHPR-01084US1

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on the date shown below.

By: Linda Saunders
Linda Saunders

Dated: October 20, 2004

**SECOND INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- ☒ The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at [pac/dapp/opla/preognotice/idswouscopies.htm](#), copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR §1.98(a)(2), as still required.
- ☐ The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 CFR §1.98 is enclosed.

This statement should be considered because:

☐ **37 CFR §1.97(c).** This statement qualifies under 37 CFR §1.97, subsection (c) because:

10/28/2004 DEMMANU1 00000049 10658721

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- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- ☐ (1) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e).
-- OR --
☒ (2) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

Date: October 20, 2004

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FORM PTO-1449

(Substitute)

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Attorney Docket Number

SHPR-01084US1

Serial No.

10/658,721

INFORMATION DISCLOSURE CITATION

BY APPLICANT

Applicant

Shek Fai Lau et al.

Filing Date

September 9, 2003

Group Art Unit

1753

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PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	Inventor	Petition to Expunge	
					Yes	No
	1.	60/104,573	10/16/1998	Krichtafovitch		X

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Examiner Initial		Document Number	Publication Date	Country	Translation	
					Yes	No
	2.	WO92/05875 A1	4/16/1992	International	✓	
	3.	WO96/04703 A1	2/15/1996	International	✓	
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	5.	WO03/013734 A1	2/20/2003	International	✓	
	6.	EP 0332624 B1	1/2/1992	Europe	✓	
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11.	DE 2206057	8/16/1973	Germany	✓	
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

NonAsterisked Items: Copies not submitted because they were submitted in prior application, filed, and relied upon under 35 USC §120.